MSRUAS EMPLOYMENT REGULATIONS



M. S. Ramaiah University of Applied Sciences

University House, New BEL Road, MSR Nagar, Bangalore – 560 054 www.msruas.ac.in

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CHAPTER 1: MSRUAS

1.1 Preamble

M. S. Ramaiah University of Applied Sciences is established in 2014 under *M. S. Ramaiah University of Applied Sciences Act, 2012*. These **Regulations** summarizes the human resources policies and practices approved by the University for Implementation across the University. These **Regulations** may be cited as the "MSRUAS Employment Regulations" (hereinafter the "Employment Regulations").

1.2 Mandate of the University

The mandate of MSRUAS is stated in the University's Statutes. These are:

- 1. The provision of higher education, promotion of research and advancement in learning by providing industry training, consultancy and technology/product incubation;
- 2. Dissemination of knowledge and providing the opportunity of acquiring higher education to all persons including persons with disabilities regardless of race, political opinion, colour, gender or other attribute.

1.3 Vision

"MSRUAS aspires to be the premier university of choice in Asia for student centric professional education and services with a strong focus on applied research whilst maintaining the highest academic and ethical standards in a creative and innovative environment"

1.4 Mission

Our purpose is the creation and dissemination of knowledge. We are committed to creativity, innovation and excellence in our teaching and research. We value integrity, quality and teamwork in all our endeavors. We inspire critical thinking, personal development and a passion for lifelong learning. We serve the social, cultural and economic needs of our community and society.

1.5 Objectives

- To disseminate knowledge and skills through instruction, teaching, training, seminars, workshops and symposia in Engineering and Technology, Art and Design, Management and Commerce, Hospitality, Health and Allied Sciences, Physical and Life Sciences, Arts, Humanities and Social Sciences to equip students and scholars to meet the needs of industry, business and society.
- To generate knowledge through research in Engineering and Technology, Art and Design, Management and Commerce, Hospitality, Health and Allied Sciences, Physical and Life Sciences, Arts, Humanities and Social Sciences to meet the challenges that arise in industry, business and society.

- 3. To promote the health and well-being of humans and provide holistic healthcare.
- 4. To provide technical and scientific solutions to the problems posed by industry, business and society in Engineering and Technology, Art and Design, Management and Commerce, Hospitality, Health and Allied Sciences, Physical and Life Sciences, Arts, Humanities and Social Sciences.
- 5. To instil the spirit of entrepreneurship in our youth to help create more career opportunities in society by incubating and nurturing technology product ideas and supporting technology backed business.
- 6. To identify and nurture leadership skills in students and help in the development of our future leaders to enrich the society we live in.
- 7. To develop partnerships with universities, industries, businesses, research establishments, NGOs, international organisations and governmental organisations in India and abroad to enrich the experiences of faculty members and students through research and development programmes.

1.6 Values of the University

All members of the University should adhere to the values of the University in all dealings at or related to the University consistent with and as required, by virtue of their positions in the University, and in keeping with the principles of justice, equity, fairness and the pursuit of excellence. These values include:

- 1. Maintaining the highest levels of integrity and ethics in teaching, administration and research activities of the University;
- 2. Affording equal opportunity to all persons irrespective of caste, creed, gender or ethnicity;
- 3. Supporting the cultural, economic and social welfare of the citizens of the country;
- 4. Sustaining a diverse student and academic community committed to merit and equity as the fundamental principles and extending support to students and staff to realize their full potential;
- 5. Maintaining a safe and harmonious learning and working environment within the University.

1.7 University Logo



1.8 University Governance, Management and Administration

- 1. The **Board of Governors** is the principal governing and policy–making body of the University responsible for all strategic decision making.
- 2. The **Board of Management** is the principal, executive body of the University which, subject to the control of the Board of governors, manages and administers the revenues and property of the University and conducts all the administrative affairs of the University not otherwise provided for.
- 3. The Academic Council is the principal, academic, policy-making body of the University. The

Academic Council shall, subject to the provisions of the Act and the Statutes have control over and responsibility for the maintenance of standards of instruction, education and examinations and conferment of under-graduate and post-graduate degrees, diplomas and other awards and titles in the University.

- 4. The **Research and Innovation Council** is the principal authority to organize, promote and disseminate research related activities in the University. The Research & Innovation Council shall, subject to the provisions of the Act and the Statutes have control over and responsibility for the maintenance of standards of instruction, education and examinations and conferment of all degrees for the doctoral research programmes in the University.
- 5. The **Skills and Vocational Training Council** is the principal authority to have control over and responsibility for the maintenance of standards of instruction, education, examinations and conferment of under graduate and post-graduate degrees, diplomas and other awards and titles in the University for all Skills and Vocational Training Programmes in the University.
- 6. The **Finance Committee** is the principal, financial decision-making authority of the University and shall deal with all matters pertaining to its finances and property.
- 7. The **Chancellor** is the head of the University and is the Chairperson of the Board of Governors.
- 8. The **Vice Chancellor** is the Chief Executive Officer of the University, accountable to the Chancellor and the Board of Governors and is the academic and administrative Head of the University.

CHAPTER 2: INTRODUCTION

2.1 University Administrative Registry

These **Regulations** have been prepared, by the University's Administrative Registry. The mandate of the Registry is to manage and develop the Human Resources of the University apart from other statutory functions. The University **Registrar** (hereinafter the "Registrar") is the head of the Registry and in terms of the Statutes has the following powers and responsibilities:

- 1. To enter into and sign all contracts as defined in the Statutes on behalf of the University.
- 2. To authenticate records on behalf of the University;
- 3. To have custody of all official documents and the University's common seal.
- 4. To publish the Calendar, legislation and official records of the University as required by any statute, regulation or resolution of the Board of Governors; and
- 5. To be Secretary to the Board of Governors, the Board of Management and the Finance Committee and to perform any other duties imposed by any statute, regulation or resolution of the Board of Governors.
- 6. To plan, organize, create, develop, monitor and support the Human Resources needs of the University.

2.2 Purpose, Accessibility and Revision of the Regulations

2.2.1 Purpose

- 1. To consolidate all Human Resource policies, procedures and practices in one document for ease of reference;
- 2. Define the obligations and rights of the University as the Employer;
- 3. Define the obligations and rights of the employees of MSRUAS; and,
- 4. Serve as a reference framework for the management of Human Resources in the University.

2.2.2 Accessibility

The Director – Human Resource shall make available the **Regulations** to all the employees of the University.

2.2.3 Revision

The **Regulations** shall be reviewed from time to time. Any employee or organ of the University may communicate in writing the need for revision or addition to any part of the **Regulations**. Such recommendations shall be communicated to the Director – Human Resources and Staff Welfare who will present them to the **Registrar** of the University. The **Registrar** will study the recommendations and in consultation with the Vice Chancellor decide upon the need for any revisions. All revisions will be incorporated into the **Regulations** from time to time.

2.3 Interpretation

The interpretation and enforcement of these **Regulations** shall vest in the University, whose interpretation shall be final in so far as it does not conflict with the **M.S. Ramaiah University of Applied Sciences Act, 2012**, the **Statutes** and the relevant laws governing employment and labour in India

2.4 Provisions

- 1. These **Regulations** will be cited as the **MSRUAS Employment Regulations ("the Regulations")** as approved by the Board of Management.
- 2. These **Regulations** shall constitute the University's Terms and Conditions of Service for all categories of its employees. It shall complement and be read together with the employment contract and other **University Policies** and **Regulations** made there under and all relevant laws of India that may be in force at any given time. In case of a conflict between the Law and these **Regulations**, the Law shall prevail.
- 3. If any matter arises that is not covered by these **Regulations**, it shall be brought to the attention of the Director HR and Staff Welfare for consideration and inclusion in the subsequent editions of the Manual.
- 4. Matters not covered by these **Regulations** but covered by other University policies approved by the relevant University Authority/Council/Board shall be binding on all employees as if it were part of these **Regulations**.

2.5 Implementation

2.5.1 Responsibility

The overall implementation of these **Regulations** is vested with the Human Resource Department.

2.5.2 Commencement

These Regulations shall come into force from the date of its approval by the Board of Management.

CHAPTER 3: GUIDING PRINCIPLES

3.1 Leadership

The University's leadership vests with the Vice Chancellor who shall be responsible for:

- 1. Communicating the University's goals and values
- 2. Facilitating teamwork, collaboration, and partnership
- 3. Rewarding achievement of desired outcomes
- 4. Supporting continuous learning and improvement
- 5. Encouraging innovation and the capacity to respond to change

In doing so, the University shall encourage each employee to take active responsibility for working towards achieving the mission and vision set out for the University.

3.2 Quality of Employment

The University is committed to creating a sustainable workforce of highly qualified faculty and staff, to provide a positive environment of work for all and one that encourages maintaining a balance between work and personal commitments.

3.3 Compensation, Reward and Recognition

The University's compensation program shall be administered fairly and equitably strengthening the tie between pay, performance and organizational success.

3.4 Continuous Learning and Development

The University values and supports continuous learning, while stressing that continual learning is a core responsibility of each employee. To that end, the University will direct its efforts towards providing structured development that integrates the University's mission, both organizational and individual needs and performance expectations.

CHAPTER 4: EMPLOYMENT

4.1 Employee Relation

MSRUAS will endeavor to provide its employees with work conditions, compensation and benefits that are competitive with those offered by other employers in the education sector in India. If any employee has any concerns about work conditions or compensation he/she is strongly encouraged to bring these to the attention of the HR Department. The University seeks to demonstrate its commitment to employees by making every effort to respond effectively to employee concerns.

4.2 Equal Employment Opportunity

MSRUAS is an equal opportunity employer and appointment to all positions in the University service shall be based on merit, qualification and abilities. The University will not discriminate in employment opportunities and practices based on race, color, gender, state, origin, age or any other characteristic protected by law. This policy governs all aspects of employment including, selection, job assignment, compensation, discipline, termination and access to benefits and training.

The University Registry shall be responsible for all appointments at all levels except as provided for otherwise.

Any employee with questions or concerns about any type of discrimination in the work place are encouraged to bring the issue(s) to the attention of HR Department. Anyone found to be engaging in any type of unlawful discrimination and/or spreading rumors shall be subject to disciplinary action including termination of employment.

4.3 Terms of Employment

Appointment into the University service may be on any of the following terms:

4.3.1 Full-time Employees

Full-time Employees are those that are confirmed in service with the University and may not accept any other gainful employment while in the service of the University. Full-time employees may normally work up to the age of superannuation which is 60 years subject to other provisions contained in these **Regulations**. Eligibility conditions for such appointments are stipulated in <u>Chapter</u> <u>9</u> of the Manual.

4.3.2 Contractual Terms

University staff appointed on contractual basis shall be governed by the terms of the contract signed between them and the University.

Part-time/ Adjunct Terms

University staff may be appointed on Part-time Terms where the employee is engaged for less than the normal, on an average 20 hours per working week.

4.3.3 Casual Terms

Employees who are engaged to perform defined tasks on an on-call basis and whose remuneration is determined for each task performed shall be considered as Casual Employees.

4.3.4 Honorary Terms

University staff engaged on honorary terms shall be entitled only to Honorariums or other specific remuneration approved on a case-by-case basis. Honorary staff are usually persons of academic and/ or professional distinction as determined by the authorised University authority.

4.3.5 Deputed Terms

Appointments on Adjunct Terms shall be the type of employment where a member of staff of one department may render services to another department on agreed terms. The Head of the Department in consultation with the Dean of the respective Faculty (Director of Directorate) shall be responsible for such types of deputations. Such assignments shall not entitle a staff member for any additional benefits both financially and non-financially.

4.3.6 Appointment of Professor of Practice/Professor of Eminence/ Professor Emeritus

Appointment of Professor of Practice shall be as per the guidelines issued by UGC. Appointment of Professor of Eminence and Professor of Emeritus shall be as per the approval of the Management.

4.3.7 Appointment by Transfer

Under extraordinary circumstances a teaching faculty may be transferred from a teaching assignment to non-teaching administrative position, provided a strong reason being in place with the approval of Vice Chancellor. Candidate transferred will be fixed in a comparable position in the non-teaching cadre besides protection of salary. Similarly a non-teaching staff having requisite qualification and experience may also be transferred to a faculty position with the approval of the Vice Chancellor.

4.4 Categories of Employees

Employees of the University shall comprise the following categories:

4.4.1 Academic (Teaching) Staff

Academic teaching staff are the staff who are engaged in teaching, research and outreach activities to the community in line with the mission of the University. They shall include the following:

- 1. Professor Emeritus/Professor of Eminence/ Professor of Practice;
- 2. Professor;
- 3. Associate Professor;
- 4. Assistant Professor;
- 5. Teaching Assistant /Tutors/ Lecturers;
- 6. Research Fellow and
- 7. Research Assistant

4.4.2 Academic (Non-Teaching) Staff

These staff are usually staff engaged in support roles for academic teaching and research operations.

4.4.3 Administrative and Technical Staff

These staff are usually engaged in administrative, training, consultancy and other functions of the University.

4.5 Minimum Qualifications for Appointment

The minimum qualifications of a person to be appointed at M. S. Ramaiah University of Applied Sciences to a teaching position will be as per University Grants Commission/ Dental Council of India/ Pharmacy Council of India/National Medical Commission/Indian Nursing Council/ All India Council for Technical Education/Bar Council of India or any other regulatory body as the case may be. The University may establish its own minimum qualification requirement for all other positions including **Non-Teaching/Technical/ Administrative Staff**. All the appointments of faculty positions at M. S. Ramaiah University of Applied Sciences shall be done through the **"Selection Committee for Appointment for Faculty"** constituted by Vice Chancellor from time to time. The decision of the Committee relating to recruitment and selection shall be done through the "Selection Committee for Appointment of **/ Non-Teaching/** Administrative Staff shall be done through the "Selection Committee for Appointment of **/ Non-Teaching/** Technical/ Administrative Staff shall be done through the "Selection Committee for Appointment of **/ Non-Teaching/** Technical/ Administrative Staff shall be done through the "Selection Committee for Appointment of **/ Non-Teaching/** Technical/ Administrative Staff shall be done through the "Selection Committee for Appointment of **/ Non-Teaching/** Technical/ Administrative Staff shall be done through the "Selection Committee for Appointment of **/ Non-Teaching/** Technical/ Administrative Staff shall be done through the "Selection Committee for Appointment of **/ Non-Teaching/** Technical/ Administrative Staff.

4.6 Recruitment Procedure

4.6.1 Vacancies

Posts shall be deemed to be vacant as a result of the following:

- 1. End of contract of an employee with MSRUAS;
- 2. Retirement/ Superannuation of an employee ;
- 3. Resignation by an employee;
- 4. Dismissal of an employee by the University;
- 5. Death of an employee;
- 6. Restructuring/establishment of University/Faculty/ Department;
- 7. Rejection of appointment offered by the University to a candidate; and
- 8. Any other causes, and/or reasons.

4.6.2 Notification of Vacancies

The Dean of a Faculty or Director of a Directorate shall immediately notify the University Registry regarding the existing vacancies or positions expected to fall vacant within the next three (3) months on a regular basis.

4.6.3 Methods of Filling Vacancies

Vacancies may be filled through any one of the following methods:

4.6.3.1 Promotions

Where a Dean of a Faculty or Director of a Directorate is satisfied that there is a faculty who is competent to fill a vacant post, the concerned Dean of the Faculty or Director of the Directorate shall recommend that the vacancy be filled, by promotion, within the department through internal selection.

Provided that no one who is still serving a probationary period shall be considered for promotion. This

Proviso, shall not apply in respect of / Non-Teaching/Technical/Administrative Staff.

4.6.3.2 Advertising

Where the concerned Dean of a Faculty or Director of a Directorate is satisfied that there is no qualified candidate from within the department/unit to fill the vacancy, they shall recommend that the vacancy be advertised.

In respect of Non-Teaching/Technical/Administrative Staff posts vacant posts may be filled up either by internal selection or by direct recruitment. The advertisement may be made within the University or externally if it is believed that the position cannot be filled up from candidates within the University. The Human Resource Department shall release advertisement for recruitment for Teaching/ Non - teaching posts in leading newspapers or other media giving applicants at least 30days' time from the date of publication of the advertisement. The advertisements shall also be uploaded onto the Website of the University. *The job advertisements shall contain sufficient details about the positions advertised, the type of person required and other relevant information.*

4.6.3.3 Re – appointment

An employee on contractual or temporary terms may be re-appointed where the conditions for re-appointment and the procedure thereof are specifically provided for in the initial contract.

4.6.3.4 Other appropriate methods

Notwithstanding provision 4.6.3.1 to 4.6.3.4 above, the University may hire eminently suitable candidates through other appropriate methods as approved by the Vice – Chancellor from time to time.

4.6.4 Application

All persons seeking employment with the University shall do so through a written application addressed to the Director – Human Resources in the published format.

4.6.5 Processing Applications and Short Listing

- Applications for Teaching / Research / Non teaching positions shall be received by the University Registry and shall be scrutinized by the Director – Human Resource in consultation with the Vice – Chancellor/ PVCs / Deans of Faculty/ Directors of Directorates as per the minimum requirement criteria set by the University.
- 2. Short listing of applicants shall be the responsibility of the Human Resource Department. The Director Human Resource shall co-ordinate the exercise in close consultation with the concerned Faculty/ Department as the case may be.
- 3. The short-listing shall always be guided by agreed criteria as well as the provisions in the advertisement or other job related factors as may be considered relevant by the University. Meeting the minimum requirements stipulated in the advertisement shall not automatically entitle a candidate to be shortlisted.
- 4. Short-listing shall be done within a reasonable period normally not exceeding **one month** from the closure of receiving applications.
- 5. Short-listed applicants shall be given reasonable notice for attending interviews specifying the

time and place of interview by email or other communication.

4.6.6 Call for Interview

The short listed candidates shall be sent an interview invite through electronic mail specifying the time, place of interview and requirements for interview. The candidate may be called on his personal number intimating him about the interview. Additionally the candidate may also be sent an SMS message.

4.6.7 Interview Procedure

All appointments of the University shall be made through the **"Selection Committee for Appointment for Faculty or Selection Committee for Appointment of Non- Teaching/Technical/Administrative Staff** constituted by the Vice Chancellor of the University from time to time. The interview process will have the following steps:

- Introduction of the Selection Committee by the Director Human Resource and staff Welfare
- 2. Brief introduction of the candidate
- 3. A presentation for about 20 minutes on any of the following as the case may be:
 - a. Any technical/ research topic/ Design Portfolio for Academic Staff
 - b. A relevant topic in line with the job profile For non-teaching staff.
- 4. Discussions and questions from the panel

Note: For job profiles where presentation is not relevant, an interview may be considered equivalent. However, the final decision regarding the procedure of interview shall be decided by Selection Committee.

4.6.8 Evaluation Procedure

The University shall use a standard evaluation form, which lists various parameters against which each candidate will be scored. Evaluators shall indicate, by giving marks, whether the applicant possesses the credentials to qualify for further consideration for the applied position. The Director – Human Resource shall consolidate the marks and shall produce the final report for consideration by the Vice chancellor.

4.6.9 Selection

Candidates with the highest score shall be provisionally selected and may be called if necessary for a second/ further round of interview/discussions. The Vice Chancellor shall discuss with the candidate regarding rules and **Regulations** of work in the University. If the candidate is agreeable to the terms and conditions, he/she shall be issued an "Offer Letter".

Upon acceptance of the offer letter, the candidate shall be issued a formal contract of appointment giving him/her a joining time of 30 days. However, Vice Chancellor may extend this joining time in special cases and shall document the reason for extending the joining time. In case the candidate fails to report to duty within the stipulated time, his/her appointment with the University shall stand cancelled.

4.6.10 Submission and Verification of Documents

The selected candidate will be required to submit all the required documents to the University Registry on the day of accepting the offer. The Director – Human Resource reserves the right to authenticate in such manner as he/she shall deem necessary a prospective employee's employment and salary history, stated qualifications and references.

4.6.11 Impersonation or Falsification of Documents

Cases of impersonation, falsification of documents or giving false /incomplete information whenever discovered either before appointment or afterwards, shall lead to automatic cancellation of candidature or appointment or dismissal or prosecution in the courts of law.

4.7 Background Check

To ensure a safe and productive workplace, MSRUAS may conduct background checks on candidates being considered for employment in certain designated positions with the University. The background checks may include but are not limited to: any history of criminal record; listing in the Sexual Offender Registry Information (SORI) or other similar listings; and verification of academic credentials, prior to employment. If required or as applicable for the position for which the applicant has applied, additional background checks as decided by the University will be conducted.

4.8 Induction/Orientation

Induction shall be the first step in building a two-way relationship between the University and the employee. The induction shall serve the purpose of introducing the new employee to the work environment as well as to the various aspects of the employees work.

- 1. Induction is mandatory to properly initiate all new staff (whether hired, promoted or transferred) into their new tasks.
- 2. The induction programme shall be arranged by the University Registry and the Dean of the relevant Faculty/ Director of the Directorate. The induction programme is usually spread over three days.

The Orientation shall be of following types:

4.8.1 Employee Orientation

The Human Resource Department will arrange for all new employees to attend an Orientation programme where they will be provided with information on the University's history, facilities, programs, benefit plans, safety programs, and personnel policies and procedures. At this meeting, new employees shall be given the opportunity to enroll in employee benefit plans, obtain an employee University identification card or badge and review the University IPR Policy and Agreement.

4.8.2 Faculty and Department Orientation

In addition to the orientation conducted by University Human Resource Department, new employees shall be provided further orientation at the Faculty and Departmental level under which he/ she is recruited. Each Faculty will have a **"Staff Induction Training Committee"** constituted by the Dean of the respective Faculty for providing orientation to new joiners. In case of a Directorate the Director will be responsible to provide the orientation to the new staff member. In this orientation, new

employees will be familiarized with the role that the Faculty and the Department/ Directorate plays in the functioning of the University and how his/her position contributes to the functioning of the Faculty and Department/ Directorate. In addition, it is expected that supervisors shall review the following subjects with each new employee:

- 1. The employee's job duties and responsibilities;
- 2. The operational policies and procedures of the Faculty and Department (e.g., lunch hours and reporting of absences including the use of sick and vacation time);
- 3. The functions and activities of the department;
- 4. The teaching pedagogy including framing of assignment and question paper and evaluation schemes of the University
- 5. The quality of services that the Faculty and the Department is expected to provide to the students, parents, visitors, and the public;
- 6. The physical layout of the office, the building and immediate vicinity, and all other relevant work areas;
- 7. The University Information Security Policy and Guidelines; and
- 8. Any other applicable departmental policies.

4.9 **Probation Period**

Probation shall mean a prescribed period which an employee has to serve prior to being confirmed in the University's service on permanent terms. The probation period shall give new employees the opportunity to demonstrate their ability to achieve a satisfactory level of performance and to determine whether the new position meets their expectations. MSRUAS shall use this period to evaluate the employee's capability, work habits, and overall performance. During this period the employee shall be considered to be a temporary employee and satisfies to the following conditions:

- 1. With the exception of certain employees, all other employees are required to serve the probation period as agreed in the contract of employment.
- 2. The probation period may be extended for an additional period (s) in cases where the employee's performance is not up to acceptable standard or fitment to the job could not be evaluated in the probation period.
- 3. An employee shall not be employed for a probation period of service on more than one occasion by the University unless he/she is engaged for work of a different nature.
- During the probationary period a contract of employment may be terminated by either party by giving not less than one month's notice of termination, or by payment of one month's gross salary (i.e. Basic + DA + HRA) in-lieu-of notice.
- 5. Notwithstanding the provisions of (1) above, the University may waive the whole or part of the probation period of service in certain cases especially where the employee has performed prolonged service beyond the normal probation period.
- 6. An employee is not entitled to any other benefits of the University or to be promoted during the probationary period of service.
- 7. The probation period maybe extended by the number of days an employee takes leave without pay during his/her probation period.

4.10 Confirmation

An employee who has successfully completed his/her probation may be confirmed in the University service with effect from the date of expiry of their probationary period. The procedure for confirmation shall be as follows:

- The employee shall, through the Head of the concerned department, submit an application, a Curriculum Vitae and a statement of achievements to the Dean of the respective Faculty (or Director in the case of a Directorate), one month before the expiry of the probationary period.
- 2. The Dean of the respective Faculty (or Director in the case of a Directorate) shall evaluate the employee's performance during the probation period and where the performance of the employee, due for confirmation, is found satisfactory, shall forward to the Director Human Resource, a recommendation that the employee be confirmed.
- 3. Where the Head of the Department/Dean of the Faculty/Director of Directorate finds the performance of the employee due for confirmation to be unsatisfactory, the Head of the Unit shall so inform both the Director Human Resource and the employee in writing and indicate clearly his/her recommendation.
- 4. Confirmation in all cases shall be processed within the probationary period of an employee. In the event that this is not done and the probationary period expires, the employee shall be entitled to have their confirmation back dated to the date it was first due.
- 5. Confirmation of Non-Teaching/ Technical/ Administrative Staff shall be done by a standing committee constituted by Vice Chancellor.

4.11 Service Book

A Service Book shall be maintained by the Director – Human Resource for all employees of University which shall contain such information regarding date of birth, date of appointment, qualifications, scale of pay, increments, probation, particulars of leave and such other information as the required by the Human Resource Department.

4.12 Employment of Foreign Nationals

MSRUAS's employment of foreign nationals shall be restricted to those who are authorized under law to accept employment in India. All such candidates shall come through proper channel with valid permissions from the relevant authorities like FRRO.

4.13 Employment of Minors

No person under the age of eighteen (18) shall be employed by M. S. Ramaiah University of Applied Sciences and its associates working for the University.

4.14 Employment of MSRUAS Students

MSRUAS students whether full time or part time shall not be employed by the University in a regular, full-time position.

However PhD students of MSRUAS may be offered Part time teaching positions in the University. Any such offers made to a PhD student shall not make him/her an employee of the University.

CHAPTER 5: POLICY STATEMENT AND CODE OF CONDUCT

5.1 General Policy

5.1.1 Policy for Differently Abled

The University shall not discriminate against any applicant or employee in hiring, review, promotion, demotion, discharge or other aspects of employment on the basis of that person's disability if the person is qualified and able to perform the "essential functions" of the respective job with "reasonable accommodation."

5.1.2 Policy for Drug and Alcohol Free Workplace

The unlawful manufacture, distribution, dispensation, possession or use of illegal drugs or alcohol by employees of the University is strictly prohibited. All employees as a condition of employment shall:

- 1. Abide by the University's policy on prohibited substances; and
- 2. Inform the University if he/she is convicted for possessing / using drugs within five days of conviction.

An employee convicted for felony, misdemeanor or drug/ alcohol violation shall face strong disciplinary actions which may include termination of employment. If reasonable cause exists to believe that an employee is under the influence and is impaired by drugs or alcohol on the job, the employee shall be required to undergo a medical test and suitable disciplinary action shall be taken thereafter. Smoking on campus is strictly prohibited.

5.1.3 Policy for Sexual Harassment/ Gender Bias

In line with the Constitution of India that guarantees equality, dignity and non-discrimination, MSRUAS reaffirms its policy of **zero-tolerance** to sexual harassment and is committed to creating an environment that respects and protects the rights of all its members, irrespective of their gender. This policy shall apply to all students and employees of the University as well as to others who participate in the University's programmes, activities and employment in both on-and off-campus settings. An offender shall be dismissed if found guilty. Unwelcome sexual advancements, requests for sexual favours and other verbal or physical conduct of sexual nature constitute sexual harassment including:

- 1. Physical contact and advances; or
- 2. Demand or request for sexual favours; or
- 3. Sexually coloured remarks; or
- 4. Showing Pornography; or
- 5. Any other unwelcome physical, verbal or nonverbal conduct of sexual nature.

5.1.4 Policy for Soliciting/ Canvasing

Canvassing, placing signs and posters for solicitation purposes, chain letters and collections of any kind and sales of tickets or merchandise are prohibited on the University premises. All employees are prohibited from indulging in any personal activity utilizing the University's resources and facilities. Any staff member found indulging in conducting tuition classes or coaching classes whether for remuneration or otherwise shall be suspended with immediate effect.

5.1.5 Policy for Attendance

Employees are required to be prompt in reporting to work on time. It is expected that employees shall remain on the job until the end of the workday unless excused by the Head of the Department or the Dean of the Faculty / Director of a Directorate. An employee, who knows he / she will be absent from work on a particular day shall report such anticipated absence to the Head of the Department. A record of tardiness and unexcused absences will result in loss of pay or other disciplinary action(s) which may even lead to termination of employment.

5.1.6 Policy for Time – Keeping

The bio-enabled time recording tool shall be used by all staff members to record time of entry & exit. Accurately recording time worked, shall be the responsibility of every employee. MSRUAS keeps accurate record of time worked in order to calculate employees' pay and benefits. Time worked shall be all the time actually spent on the job performing assigned duties.

Time keeping shall also be maintained by an Attendance Register which the employees have to sign daily as their attendance. This is done for keeping a track of employees' and associate members' punctuality which will lead to their performance analysis.

Altering, falsifying, tampering with time records shall result in strict disciplinary action, up to and including termination of employment.

5.1.7 Policy on Conflict of Interest

An employee of the University shall avoid actual or apparent conflicts of interest between his/ her University's obligations/ responsibilities and outside activities.

Transaction with outside organizations shall be conducted within the framework established and controlled by the Vice chancellor of the University. Any dealings with outside organizations should not result in unusual gains for those organizations. Unusual gain refers to bribes, product bonuses, special fringe benefits, unusual price breaks and other windfalls designed to ultimately benefit the employer, the employee, or both. Promotional plans that could be interpreted to involve unusual gain shall require Vice Chancellor's approval.

5.1.8 Outside Employment

Employees shall not hold any type of outside employment/assignment/responsibility either for personal interest or for public interest as long as they are an employee of MSRUAS.

In case of any request for special type of service from any other organization, the employee shall be liable to take a formal written permission from the Dean of the Faculty/ Director of a Directorate stating clearly the type of activity and the outcome of such special activity. Special requests shall include Guest Lectures, Chairmanship of committees or working in any other capacity outside the University.

5.1.9 Personal Relationship at Work Place

MSRUAS prohibits employees from displaying a dating/ romantic relationship in the premises of the University. In case of actual or potential problems arising due to such behaviors, MSRUAS shall take prompt action such as reassignment or transfer to another department or even termination from employment. Employees & Associated Staff members shall refrain from work place displays of affection or excessive personal conversation. This policy shall apply to all the employees and associated members of the University without regard to gender.

5.1.10 Work Ethics

The successful operation and reputation of MSRUAS shall be built upon the principles of fair dealing and ethical conduct of its employees. Employees owe a duty, to MSRUAS, to act in a manner that will merit the continued trust and confidence of the Public. MSRUAS shall comply with all applicable laws and **Regulations** and expects its employees (both teaching and non – teaching) at all levels to conduct work in accordance with the letter, sprit, and intent of all relevant laws and to refrain from any illegal, dishonest, or unethical conduct. In situations where it is difficult to determine the proper course of action, the matter should be discussed with higher authorities for advice and consultation. No employee shall indulge in or encourage any form of malpractice connected with any activity (teaching or administrative) of the University. Disregarding or failing to comply with this standard of work ethics and conduct shall lead to disciplinary action, up to and including possible termination of employment.

5.1.11 Non – disclosure Agreement

The protection of confidential business information and trade secrets is vital to the interests and the success of MSRUAS. Such confidential information shall include but is not limited to, the following examples:

- 1. Compensation data
- 2. Pending projects and proposal
- 3. Computer processes
- 4. Research and development strategies
- 5. Computer program & codes
- 6. Scientific data
- 7. Any published/ unpublished research data
- 8. Marketing strategies
- 9. Computer lists
- 10. Scientific formulae
- 11. Technological data
- 12. Scientific prototypes
- 13. Customer/ clients lists
- 14. Financial information
- 15. Human Resource Strategies
- 16. New market research

All employees and associated members shall be required to sign a **"Non-Disclosure, Non Solicitation, Confidentiality and Non-Compete Agreement"** as a precondition of employment. Employees or associated members who improperly use or disclose trade secrets or confidential business

information shall be subjected to legal action/ disciplinary action, up to and including termination of employment, even if they do not actually benefit from the disclosed information. The **"Non-Disclosure, Non Solicitation, Confidentiality and Non-Compete Agreement"** shall be a part of the Contract of Employment which employees shall confirm at the time of accepting employment.

5.2 Employee code of Conduct

5.2.1 Misconduct

All employees shall follow the **Rules** and **Regulations** and standards of courtesy, conduct, cooperation, ethics and etiquettes as expected by the University. Offences such as the following (but not limited to) when committed shall constitute misconduct and will attract disciplinary action:

- 1. Drunkenness on duty;
- 2. Sleeping/dozing while on duty
- 3. Consumption of illegal drugs;
- 4. Willful insubordination or disobedience and refusal to take lawful orders;
- 5. Refusal, negligence or omitting to perform one's official duties and/or discharge official responsibilities duly assigned;
- 6. Incompetence or inefficiency in the performance of prescribed duties;
- 7. Persistent late coming and early exit or absence from duty without permission;
- 8. Use of abusive or insulting language or behavior or assault;
- 9. Boisterous or disruptive activity in the workplace;
- 10. Acts or omissions that are prejudicial to the proper performance of duties or the University's image or status, whether within or outside the University;
- 11. Misuse of or damage to University property;
- 12. Slander;
- 13. Tendencies of discrimination;
- 14. Forgery, falsifying or presenting false documents and/or records for the purposes of disseminating wrong information, obtaining money or reward or favor;
- 15. Abscondment from duty;
- 16. Breaching or contravening the University's prescribed operating rules, **Regulations** and procedures likely to cause financial loss or damage of University property;
- 17. Persistent failure or negligence of a Head to enforce discipline or follow prescribed University rules, procedures and standing instruction;
- 18. Theft, fraud, or embezzlement of University's funds and property;
- 19. Unauthorized access or removal, alteration, mutilation or destruction of University documents, records, or information;
- 20. Taking part in strike / hartals / gherao / bundh;
- 21. Acts likely to endanger the safety or life of or which may result in injury to another person, including gross negligence or misconduct, violence or fighting;
- 22. Soliciting or accepting bribes;
- 23. Plagiarism; and
- 24. Non adherence to any other policies of the University

5.2.2 Gross Misconduct

Any of the above offences may be construed as gross misconduct by the University depending upon the circumstances and gravity of the offence.

5.2.3 Disciplinary Measures

There shall be four principal forms of disciplinary measures namely: warning, suspension, termination and dismissal depending on the gravity of the offence. The University shall exercise its discretion to impose disciplinary measures upon an employee for misconduct as it may consider appropriate. The Head of the Department shall bring to the notice of the Dean of the Faculty / Director of a Directorate, any case of misconduct of his/her department staff member. The Dean of the Faculty / Director of a Directorate shall immediately bring to the notice of the Director – Human Resource regarding such misconduct from his/ her Faculty. The **"Staff Disciplinary Committee"** constituted by the Vice Chancellor shall decide upon all cases of such misconduct.

5.2.3.1 Warning

The **"Staff Disciplinary Committee"** shall issue warning to the staff member of the University accused of misconduct.

Written warning: shall be given where an employee who commits an offence of serious gravity. A written warning shall specify:

- > The identified deficiencies or inadequacies and improvements required;
- > Any recommendations to assist the employee reform

5.2.3.2 Suspension

The power to suspend services of an employee shall be vested in the Vice – Chancellor on behalf of Chancellor.

- 1. Failure of an employee to reform after the written warning, shall lead to suspension on no pay for a period not exceeding 15 days or the duration of an inquiry whichever is shorter.
- An employee shall also be suspended from duty on such terms and conditions as the "Staff Disciplinary Committee" deems fit, where such an employee has been apprehended on account of an offence that requires investigations or is charged in a court of law or is remanded pending criminal proceedings.
- 3. The **"Staff Disciplinary Committee"** shall take a decision in the case of a suspended employee within a stipulated period or on the conclusion of an inquiry into the case, whichever is earlier.

5.2.3.3 Termination

The power to terminate the services of an employee shall be vested in the Vice – Chancellor or Registrar on behalf of Vice - chancellor.

- The employee's contract may be terminated with or without notice if the University believes that the continued employment of such a person would prejudice or affect other employees' performance or compromise the University's interest.
- 2. Termination shall be with such benefits as the University shall decide in all cases.

5.2.3.4 Dismissal

The power to dismiss the services of an employee shall be vested with the Vice – Chancellor or Registrar on behalf of the Vice - Chancellor.

- 1. Both gross misconduct and gross neglect of duty are punishable by dismissal from employment.
- 2. An employee who is dismissed from service as a result of criminal conviction shall neither be given notice nor paid salary in lieu of notice
- 3. An employee who is dismissed following suspension shall forfeit all the benefits he is entitled from the University.
- 4. The provision in (3) above shall not apply to his/her contributions made by the employee to any terminal benefits scheme(s) including Provident Fund/Gratuity Fund.

5.2.4 Grievance Procedure

- 1. An employee with a grievance shall present it to the Head of the Department in writing for resolution.
- Where the Head of Department fails to resolve the grievance within a stipulated number of working days, such grievance shall be referred to the Dean of the Faculty / Director of a Directorate who shall handle the grievance and shall conclude it within a stipulated number of working days.
- 3. Where the Dean of the Faculty / Director of a Directorate fails to resolve the grievance, such grievance shall be forward to "Staff Grievances and Redressal Committee" constituted and chaired by the Registrar. The Committee shall resolve the grievance of the employee within the stipulated number of working days from the date of receipt of the grievance from the respective Dean of the Faculty / Director of a Directorate. The decision of the Committee shall be deemed final.

In each of the cases the employee shall be notified/ intimated by a written communication from the Head of the Department / Dean of a Faculty / Director of a Directorate/ **Staff Grievances and Redressal Committee** as the case maybe.

5.2.5 Employees Facing Criminal Charges

- Where criminal proceedings are instituted against an employee in any court of law, no proceedings for his or her dismissal upon any grounds involved in the criminal charge shall be taken or proceeded with until the conclusion of the criminal proceedings and the determination of any appeal therefrom.
- 2. Nothing in these **Regulations** shall be construed as prohibiting or restricting the authorised officer of the University, the power to suspend such an employee.
- 3. Any employee convicted and proved guilty of a criminal offence shall be immediately dismissed.

5.2.6 Personal Appearance

During office hours or when representing MSRUAS, the employees are expected to present a clean, neat and tasteful appearance. Employees shall dress and groom according to the requirements of their position and accepted social standards. This shall be particularly true if the job involves dealing with visitors in person. The following personal appearance guidelines should be followed:

- 1. Bermudas and shorts do not represent appropriate professional attire.
- 2. Unnaturally coloured hair and extreme hairstyles, such as spiked hair and shaved heads, do not present an appropriate professional appearance.
- 3. Offensive body odour and poor personal hygiene is not professionally acceptable.
- 4. Facial jewellery, such as eyebrow rings, nose rings, lip rings, and tongue studs, is not professionally appropriate and shall not be permitted during office hours.
- 5. Multiple ear piercing (more than one ring in each ear) are not professionally appropriate and must not be worn during office hours.
- 6. Visible tattoos and similar body art must be covered during office hours.

5.2.7 Gratuities

Employees of the University shall not accept gratuities, courtesies or gifts in any form from any person or persons, corporations or associations that directly or indirectly, seek to use the connection so as to secure favourable comment or consideration on any commercial commodity, process or undertaking.

5.2.8 Employee Code of Conduct and Progressive Discipline

The University's best interest lies in ensuring fair treatment of all employees and in making certain that disciplinary actions are prompt, uniform, and impartial. The major purpose of any disciplinary action shall be to correct the problem, prevent recurrences and prepare the employee for satisfactory service in the future. Although employment with the University shall be based on mutual consent, the University shall have the right to terminate employment at its sole discretion, with or without cause or advance notice under the policy of progressive discipline. The code of conduct is as follows:

5.2.8.1 Teachers and their Responsibilities

Whoever adopts teaching as profession assumes the obligation to conduct himself/herself in accordance with the ideal of the profession. A teacher is constantly under the scrutiny of his/her students and the society at large. Therefore every teacher should see that there is no incompatibility between his/her percept and practice. The national ideals of education which have already been set forth and which he/she should seek to inculcate among students must be his/her own ideals. The profession further requires that the teachers should be calm, patient and communicative by temperament and amiable in disposition.

As teaching faculty, you shall:

- 1. Adhere to a responsible pattern of conduct and demeanour expected of you by the community;
- 2. Manage your private affairs in a manner consistent with the dignity of the profession;
- 3. Seek to pursue professional growth continuously through study and research;

- 4. Express free and frank opinion by participation at professional meetings, seminars, conference etc. towards the contribution of knowledge;
- 5. Maintain active membership of professional organisations and strive to improve education and profession through them;
- 6. Perform your duties in the form of teaching, tutorial, practical, seminar and research work conscientiously and with dedication;
- 7. Co-operate and assist in carrying out functions relating to the educational responsibilities of the University such as assisting in appraising applications for admission, advising and counselling students as well as assisting the conduct of the university examinations, including supervision, invigilation and evaluation; and
- 8. Participation in extension, co-curricular and extracurricular activities including community services.

5.2.8.2 Teachers and Students

As teaching faculty, you shall:

- 1. Respect the right and dignity of the students in expressing their opinion;
- 2. Deal justly and impartially with students regardless of their religion, caste, political, economic, social and physical characteristics;
- 3. Recognise the difference in aptitude and capabilities among the students and strive to meet their individual needs;
- 4. Encourage students to improve their attainments, develop their personalities and at the same time contribute to community welfare;
- 5. Inculcate among students a scientific outlook and respect for physical labour and ideals of democracy, patriotism and peace;
- 6. Be affectionate to the students and not behave in a vindictive manner towards any of them for any reason;
- 7. Pay attention only to the attainments of the student in the assessment of merit;
- 8. Make yourself available to the students even beyond their class hours and help and guide students without any remuneration or reward;
- 9. Aid students to develop an understanding of our national heritage and national goals; and
- 10. Refrain from inciting students against other students, colleagues or administration.

5.2.8.3 Teachers and Colleagues

As teaching faculty, you shall:

- 1. Treat other members of the profession in the same manner as they themselves wish to be treated;
- 2. Speak respectfully of other teachers and render assistance for professional betterment;
- 3. Refrain from lodging unsubstantiated allegations against colleagues to higher authorities, and
- 4. Refrain from allowing consideration of caste, creed, religion, race or gender in your professional endeavour.

5.2.8.4 Teachers and Authorities

As teaching faculty, you shall:

- 1. Discharge your professional responsibilities according to the existing rules and adhere to procedures and methods consistent with your profession in initiating steps through your own institutional bodies and/or professional organisations for change of any such rule detrimental to the professional interest;
- 2. Refrain from undertaking any other employment and commitment including private tuitions, coaching classes etc. which are likely to interfere with your professional responsibilities;
- 3. Co-operate in the formulation of policies of the institution by accepting various offices and discharge those responsibilities satisfactorily;
- 4. Co-operate with the authorities for the betterment of the University keeping in view its interests and in conformity with the dignity of the profession;
- 5. Adhere to the conditions of contract of employment, polices and rules of the university.
- 6. Give and expect due notice before a change of position is made; and
- 7. Refrain from availing leave except on unavoidable grounds and as far as practicable with prior intimation, keeping in view your particular responsibility for completion of the academic schedule and other responsibilities.

5.2.8.5 Teacher and Non-Teaching Staff

- 1. Teachers should treat the non-teaching staff as colleagues and equal partners in a cooperative undertaking within the University; and
- 2. Teachers should help in the functioning of joint staff-councils covering both teachers and the non-teaching staff.

5.2.8.6 Teachers and Guardians

As teaching faculty, you shall:

 Try to see through teachers' bodies and organisations, that institutions maintain contact with the guardians, their students, send reports of their performance to the guardians whenever necessary and meet the guardians in meetings convened for the purpose for mutual exchange of ideas and for the benefits of the institution.

5.2.8.7 Teachers and Society

As teaching faculty, you shall:

- 1. Recognise that education is a public service and strive to keep the public informed of the educational programmes which are being provided;
- 2. Work to improve education in the community and strengthen the community's moral and intellectual life;
- 3. Be aware of social problems and take part in such activities as would be conducive to the progress of society and hence the country as a whole;
- 4. Perform the duties of citizenship, participate in community activities and shoulder responsibilities of public offices;
- 5. Refrain from taking part in or subscribing to or assisting in any activities which tend to promote feeling of hatred or enmity among different communities, religions or linguistic groups but actively work for National Integration.

5.2.8.8 General Code of Conduct

- 1. In order to foster an environment which encourages high standards of learning, teaching and research, we believe that both personal and academic integrity are critical. The University expects all Individuals to conduct themselves with uncompromising integrity in both, personal and academic life.
- 2. Further, members should lead by example and not indulge in practices such as biased evaluations, partiality during coursework, plagiarism, and unfair research practices. You will not indulge in any violent, riotous behaviour. Disciplinary action may be taken against any individual violating of this Code.
- The University encourages students, faculty and staff members to uphold values such as integrity and honesty. The University strongly disapproves of corruption in all its forms. The University believes that giving and receiving bribes constitute corrupt practices that are strictly prohibited.
- 4. The university at the same time acknowledges that giving gifts is also a culture of expressing appreciation; illustratively a gift given as a memento after a seminar or guest lecture. Recognizing these circumstances, the University believes that receiving or giving gifts up to a value of Rs. 1000/- is acceptable practice.
- 5. Wherever there is an instance of receiving or giving gift in excess of Rs. 1000/-, the concerned individual shall report this to the Registrar and act on the basis of the advice of the Registrar.
- 6. Intimidating/blackmailing/harassing/threatening any person to do any act in favour of the person threatening is also deemed to be corrupt practice.
- 7. Equal Employment- It is the policy of the University to provide equal employment opportunities for all applicants and employees in compliance with all applicable laws. This applies to all aspects of the employment relationship including (but not limited to) recruiting, selection, placement, supervision, working conditions, training and promotion.
- 8. Free Speech-The University is committed to providing an environment that fosters academic enquiry and expression of ideas. Individuals are entitled to express their views provided such views are not contrary to this Policy, provisions of the Constitution or any applicable law. This freedom comes with the basic expectation of responsible behaviour specifically in upholding the confidentiality of certain information that the person may be privy to.
- 9. Retaliation-The University prohibits retaliatory action or reprisal against an individual for reporting an incident of discrimination or harassment or being a witness in any inquiry on discrimination or harassment. Retaliatory action includes but is not limited to intimidation, unjustified grading and performance reviews, denial of promotion, threat of expulsion and termination, expulsion and termination.
- 10. Consensual Relationships-The University does not permit such Consensual Relationship between members or between member and student in which direct interaction exists. In the event such a Consensual Relationship exists, the member or the student involved must report the relationship to the Registrar. If the parties to the relationship express an intention to the Registrar to continue in the relationship, the member and the student will decide amongst themselves which one of them will resign from either teaching / administering role or from being enrolled, in that programme due to which the interaction between them exists.

11. Violation of any of the provisions of this code of conduct by any of the employee shall result in taking stringent disciplinary action including, but not limited to termination of employment.

Disciplinary action shall involve any of four steps: verbal warning, written warning, suspension with or without pay, or termination of employment- depending on the severity of the problem and the number of occurrences (Refer 5.2.3). There may be circumstances when one or more steps are by passed. Progressive discipline shall ensure that, with respect to most disciplinary problems, the above steps shall be followed: a first offence may call for a verbal warning; a next offence may be followed by a written warning; another offence may lead to a suspension; and, still another offence may then lead to termination of employment. The University recognizes that there are certain types of employee problems that are serious enough to justify either a suspension, or, in extreme situations, termination of employment without going through the usual progressive discipline steps. While it is impossible to list every type of behaviour that shall be deemed a serious offence, the employee conduct and work rule policy includes examples of problems that may result in immediate suspension or termination of employment. However, any problem not listed as a necessary serious offence, but may be an example of unsatisfactory conduct, shall trigger progressive discipline.

5.2.9 Work Place Etiquettes

University shall strive to maintain a positive work environment where employees treat each other with respect and courtesy. Sometimes issues arise when employees are unaware that their behaviour in the work place may be disruptive or annoying others. Many of these day- to-day issues may be addressed by politely talking with co-workers to bring the issue to his or her attention.

The University encourages all employees and associate members to keep an open mind and graciously accept constructive feedback or a request to change behaviour that may be affecting another employee's ability to concentrate in work.

Some work place etiquettes are listed below. However, there may be many more such work place etiquettes.

- 1. Return copy machine and printer settings to their default settings after changing them.
- 2. Replace paper in the copy machine and printer paper trays when they are empty.
- 3. Be prompt when using the manual feed on the printer.
- 4. Keep the area around the copy machine and printers orderly and clean.
- 5. Be careful not to take or discard others' print jobs or faxes when collecting your own.
- 6. Avoid public accusations or criticisms of other employees.
- 7. Try to minimize unscheduled interruptions of other employees while they are working.
- 8. Communicate by email or phone whenever possible, instead of walking unexpectedly into someone's office or work space.
- 9. Be conscious of how your voice travels, and try to lower the volume of your voice when talking on the phone or to others in open area.
- 10. Keep socializing to a minimum, and try to conduct conversations in areas where the noise will not distract others.
- 11. Minimize talking between work spaces or over cubicle walls. Instead, conduct conversations with others in their workspace.

- 12. Try not to block walkways while carrying on conversations.
- 13. Refrain from using inappropriate language (swearing) that others may overhear.
- 14. Monitor the volume when listening to the music, voicemail, or a speaker phone that others can hear.
- 15. Clean up after yourself and do not leave behind waste or discarded papers.

5.3 Political Activity

As an individual, each employee of the University shall retain all rights and obligations of citizenship enshrined in the Constitution of India. However, no employee of the University shall be allowed to be a candidate for a political party seeking votes while being employed with the University, or take part in a political campaign while on duty.

5.4 Revelations

Without prior sanction from the Management no employee shall talk to media or publish any statement either by name or anonymously about issues related to University matters.

CHAPTER 6: JOB RELATED POLICY

6.1 Hours of Work

6.1.1 Workweek

For full time employees of the University the regular workweek at MSRUAS shall consist of **six (6)** days from Monday through Saturday. The hours of work will be separately notified. During the employment process, applicants shall be informed of the number of hours in the regular workweek and anticipated variations caused by cyclical workloads in the hiring department.

For part time and other employees, the regular workweek represents the minimum hours that an employee is expected to work as defined in their employment contracts. As part of the employee's job responsibilities, exempt staff may be required to work at special University/Faculty/Departmental events including but not limited to University Events/ Ceremonies. Non-exempt staff who volunteer to work at special University/Faculty/Departmental events including but not limited to University Events/ ceremonies but not be entitled to receive any extra remuneration.

6.1.2 Meal Period

For all employees of the University, meal periods shall be for **forty five (45)** minutes. The employees shall have to strictly adhere to the time period.

6.1.3 Tea Period

For all employees of the University, there shall be two tea periods one in the morning and the other in the afternoon.

6.1.4 University Holidays

MSRUAS has its own defined leaves for an academic year. The list of holidays will be published on the first day of the year and will be circulated to all the employees.

6.1.5 Variation in Work Schedules

This provision applies to instances in which an employee's work schedule may be changed on either a temporary or ongoing basis. Such a change may result from workload variations in the employee's department or an employee's request for a change in work schedule for personal reasons. A change to an employee's work schedule must be recommended by the employee's Head of Department and approved by the Dean of the Faculty / Director of a Directorate and the same must be intimated to University Human Resource Department. In case of employees at University level, the University Human Resource Department shall be the approving authority for any changes in the work schedules. Employees whose work schedules must be changed on a temporary or ongoing basis for unanticipated reasons shall be notified as far in advance as is practical under the conditions that resulted in the change. Employees who desire a change in their work schedule for personal reasons shall have to submit a written request to their reporting authority. The Dean of a Faculty (Director of a Directorate) and Vice Chancellor of the University shall be the approval Authority at Faculty level and University level respectively. Requests may be denied on the basis of departmental needs and other reasonable considerations. The decision shall be communicated in writing to the employee. The Deans (Directors) shall have to notify the University Human Resource Department in writing of any approved change in work schedule.

6.1.6 Overtime

MSRUAS does not support an overtime policy. However, employees and associated members are required to complete their assignment in given period of time. Under a specific case of departments/ divisions/ centers working on industry projects/ job orders, employees may have to meet the deadlines for delivering the competed project/ job order to the customers at a priority. In such situations, the University may compensate such staff members by approving half day/ full day compensatory off as the case be.

Each such instance will have to be recommended by the respective Head of the Department and approved by the Dean of the Faculty /Director of the Directorate.

6.2 Attendance

Employees are required to report for work at their scheduled times and places unless they are on a scheduled absence from work or an emergency situation.

6.2.1 Absence from Work

Unauthorised absence from work shall be considered as misconduct and strict official action shall be initiated. For an unscheduled absence, employees shall personally notify their Head of the Department as soon as possible of the absence but not less than **one (1)** hour after the start of the workday. If an employee is absent from work for **Eight (8)** consecutive workdays and has not notified his or her supervisor of the unscheduled absence, the employee shall be considered to have resigned voluntarily from the University. Employees failing to return to work by the expected return date after an approved absence shall be considered to have resigned voluntarily as of the date of the expected return

CHAPTER 7: PROMOTIONS AND TRANSFERS

7.1 General

MSRUAS encourages qualified employees to apply for open positions in the University that will help to advance their professional careers or broaden the scope of their work experience. When an employee moves to another position at the University, the move will be classified as either a promotion or a transfer. A promotion occurs when an employee moves to a position that is at a higher salary grade. A transfer occurs when an employee moves to a position that is at the same or lower salary grade. Any position falling vacant due to transfer or promotion, shall be declared as a vacancy for recruitment if the University feels the need for filling the position.

7.1.1 Eligibility

University employees who have successfully completed two (2) years of continuous service shall be eligible to apply for open positions. Other Provisions

Internal job applicants applying for open positions shall do so through proper channels and shall inform the Head of the Department/ Dean of the Faculty/ Director (of a Directorate) of such action Academic/Research Staff

7.1.2 Promotion Objectives

- 1. To promote Academic Staff whose performance demonstrates particular merit in teaching (and related duties) including teaching-learning-process, student supervision, research, and creative activity, administration, service and leadership in the University and professional practice including service to the community;
- 2. To provide a fair and equitable method of assessment so as to encourage a diverse range of applicants to get appointed and promoted.
- 3. To enable flexibility in assessment in order that the various ways in which staff contributes to achievement of the University's vision can be rewarded on the basis of consistently applied standards.

7.1.3 Requirement of PhD as a prerequisite of Promotion

The qualification of PhD or its equivalent for the positions of Associate Professor and Professor shall be mandatory for promotion from the position of Assistant Professor. No Assistant Professor of the University, without a PhD degree or its equivalent, shall be promoted to Associate Professor or Professor on the basis of his experience or capability. This policy shall not apply to any academic staff member of the University who is on part time or casual employment with the University. However, this proviso does not apply to teaching faculty at Ramaiah Medical College where NMC regulations will prevail.

7.1.4 Promotion Procedure

- 1. The overall promotion procedure shall incorporate transparent, objective and credible methodology of analysis of the merits and credentials of the applicants based on weightages given to the performance of the candidate in different relevant dimensions and his/her performance on a scoring system proforma, based on the Annual Performance Indicators (API).
- 2. In order to make the system more credible, the University may assess the ability for teaching and/or research aptitude through a seminar or lecture in a class room situation or discussion on the candidate's capacity to use latest technology in teaching and research.
- 3. No member will be recommended for promotion to a post more than one grade up the ladder.
- 4. No employee will be recommended for promotion until he/she has served in the lower grade for a minimum period as stipulated by the University.
- 5. The University has a **"Staff Performance Appraisal Committee**", constituted and chaired by the Vice Chancellor/Pro-Vice Chancellor, to implement these **Regulations** for appraisal and promotion incorporating the Annual Performance Indicators (API) based Performance Based Appraisal System (PBAS) at all levels.
- 6. For promotion/ career advancement process the University has classified employees into three categories as follows:

Category I – Academic (Teaching)/ Research Staff

Category II – Academic (Non-Teaching) Staff)

Category III – Administrative and Technical Staff

- 7. The University has defined the maximum APIs for various activities performed by staff members under different category mentioned above as attached as Annexure 7.1, Annexure 7.2 and Annexure 7.3
- 8. The **"Staff Performance Appraisal Committee**" shall provide marks to the various performance indicators during the appraisal and the weighted average shall constitute the net marks obtained by a staff member. The weights will be given on two basis: Quantity and Quality.
- 9. Promotions to the various higher cadres shall be through the process of internal selection.

7.1.5 Minimum Academic Performance and Service Requirements for Promotion of Teaching Staff in University

Sl. No.	Promotion of Teachers	Service Requirement	Minimum Academic Performance Requirements/ Selection Criteria			
1	Assistant Professor/ equivalent cadres from Stage 1 to Stage 2	Assistant Professors with Ph.D. and completed four years of service in Stage 1 OR Assistant Professors with M.Phil. and completed five years of service in Stage 1 OR Assistant Professors with PG degree and completed six years of service in Stage 1	a. b. c.	Minimum API scores using PBAS scoring proforma developed by the University One orientation and one Refresher/ Research Methodology course of 2/3 weeks duration Screening cum verification process for recommending promotion		
2	Assistant Professor/ equivalent cadres from Stage 2 to Stage 3	Assistant Professors with Ph.D. and completed three years of service in Stage 2 OR Assistant Professors with M.Phil./ PG Degree and completed five years of service in Stage 2	а. b.	Minimum API scores using the PBAS scoring proforma developed by the University One course / programme from among the categories of refresher courses, methodology workshops, Training, Teaching-Learning-Evaluation Technology Programmes, Soft Skills development Programmes and Faculty Development Programmes of 2/3 week duration. Screening cum Verification process for recommending promotion.		
3	Assistant Professor (Stage3) to Associate Professor (Stage4)	Assistant Professors with Ph.D. or equivalent and three years of completed service in Stage 3	а. b.	Minimum API scores using the PBAS scoring proforma developed by the University At least eight publications in the entire period as Assistant Professor. However, in the case of University teachers, an exemption of one publication will be given to M. Phil. holders and an exemption of two publications will be given to Ph.D. Holders. One course / programme from among the categories of methodology workshops, Training, Teaching-Learning-Evaluation Technology Programmes, Soft Skills		
			d.	development Programmes and Faculty Development Programmes. A selection committee process as stipulated in these Regulations		

4	Associate	Associate Professor with Ph.D.	a.	Minimum yearly /cumulative API scores	
	Professor	and three years of completed		using the PBAS scoring proforma developed	
	(Stage4) to	service in Stage 4.		by the University	
	Professor/		b.	A minimum of five publications since the	
	equivalent cadres			period that the teacher is placed in Stage 3.	
	(Stage 5)		с.	A selection committee process as stipulated	
				in these Regulations	

Note: Stages 1, 2, 3, 4 and 5 correspond to scales with AGP of Rs. 6000, 7000, 8000, 9000 and 10000 respectively as per 6th Pay Commission

7.1.6 The Promotion Criteria

The promotion criteria for the University staff may be composed of the following elements:

- 1. Years of relevant experience
- 2. Academic/professional qualifications
- 3. Contribution towards Research
- 4. Possessing any other higher Qualification than what is required for Promotion
- 5. Performance Appraisal
- 6. Added advantage the University shall have by promoting the staff member
- 7. Conduct

However, the **"Staff Performance Appraisal Committee"** may vary the promotion criteria, from time to time. Promotion to the higher level is based on internal selection.

7.2 Re - Designation

- 1 Re-designation shall not mean promotion. It shall be a lateral re-assignment of duties and responsibilities at the same level deemed administratively prudent. The Dean of a Faculty (Director of a Directorate) shall in consultation with the Registrar re-designate employees as shall be deemed necessary to promote efficient human resource utilization.
- 2 Where duties and responsibilities remain unchanged, re-designation shall not apply. A change of title without a change in the substance of the job shall not call for re-designation but an automatic change of title.

7.5 Seniority of Staff Members

Seniority of the staff members in the University shall be determined as under:

- 1. Seniority of a staff member in the University shall be determined on the basis of the date of appointment and length of continuous service in the University
- 2. The Head of a Department shall be considered senior to other staff members of the department only for the period during which he holds the said post.
- 3. As between the permanent staff and the temporary staff, the permanent staff shall be considered senior irrespective of the length of service.

- 4. In respect of staff members whose length of continuous service is the same, the member drawing higher salary shall be treated as senior and as between two staff members whose length of service and the salary are the same, the staff member senior in age shall be treated as senior.
- 5. Notwithstanding anything contained in the aforesaid clauses, a Professor shall always be considered senior to an Associate Professor, an Associate Professor senior to an Assistant Professor, an Assistant Professor senior to a Teaching Assistant/ Research Scholar/ Demonstrator.

CHAPTER 8: LEAVES AND VACATION POLICY

8.1 Continuous Service

Continuous Service shall mean the service rendered by an employee without any break within the University. Leave of any kind under Section 8.2 shall not constitute a break in service. The University's HR Department will maintain a cumulative record of leaves granted and used by each employee.

8.2 Types of Leaves

- 1. Duty Leave
- 2. Study Leave
- 3. Sabbatical Leave
- 4. Casual Leave
- 5. Earned Leave
- 6. Commuted Leave
- 7. Extraordinary Leave
- 8. Maternity Leave
- 9. Paternity Leave
- 10. Compensatory Leave
- 11. Leave Without Pay

8.3 Definitions and Interpretations

- 1. A day means a calendar day for purposes of all leave calculations.
- 2. Half a day leave shall mean either absence in the morning session or afternoon session.
- 3. Leave, of any type, availed on a Saturday shall be treated as a full-day's leave.
- 4. A month shall be considered equivalent to 30 days for calculations of cash equivalents of all leave that is considered encashable.
- 5. For all calculation purposes the **"Leave Year"** shall be considered from 1st August each year to 31st July of the next year.

8.4 Leave Matrix

The Leave Matrix is attached as Annexure 8.1

8.4.1 Duty Leave

- 1. Duty leave of a maximum of 30 days in an academic year may be granted for the following:
 - a) Attending conferences, congresses, symposia and seminars on behalf of the university or with the permission of the university;
 - b) Delivering lectures in institutions and universities at the invitation of such institutions or universities received by the university, and accepted by the Vice Chancellor;
 - c) Working in another Indian or foreign university, any other agency, institution or organization,

when so deputed by the university;

- d) Participating in a delegation or working on a committee appointed by the Central Government, State Government, the UGC, a sister university or any other academic body; and
- e) For performing any other duty for the university.
- 2. The duration of leave shall be such as may be considered necessary by the sanctioning authority on each occasion.
- 3. The leave shall be granted on full pay.
- 4. Duty leave may be combined with earned leave or extraordinary leave at the discretion of the leave sanctioning authority.
- 5. Duty leave shall be given also for attending meetings in the UGC, DST, etc. where a staff member is invited to share expertise with academic bodies, government or NGO.

8.4.2 Study Leave

- Study leave may be granted for entry level appointees as Assistant Professor/Assistant Librarian/Assistant Director of Physical Education and Sports/Faculty DPE&S/ or any other staff member as set out in the *Annexure 8.1* after a minimum of three years of continuous service, to pursue a special line of study or research directly related to his/her work in the university or to make a special study of the various aspects of university organization and methods of education.
- 2. The University may grant or deny study leave to any employee keeping in mind the availability of vacant positions for teachers and other cadres in the university.
- 3. Study Leave may be granted with or without pay. This decision is solely the discretion of the University.
- 4. The maximum period of study leave is three years. Study Leave of One year shall be given in the first instance which may be extended by one year each for two more instances, if and only if there is adequate progress as reported by the Employee's immediate supervisor.
- 5. Study leave shall be granted by the Vice Chancellor of the University on the recommendation of the concerned Dean of the Faculty. The leave shall not be granted for more than three years in one spell, save in very exceptional cases in which the Vice Chancellor is satisfied that such extension is unavoidable on academic grounds or necessary and in the interest of the university.
- 6. Study leave shall not be granted to a staff member who is due to retire within five years of the date on which he/she is expected to re-join duty after the expiry of study leave.
- Study leave shall not be granted more than once during one's career.
 Provided that, under no circumstances, shall the maximum of study leave admissible during the entire service exceed three years.
- 8. No staff member, who has been granted study leave, shall be permitted to alter substantially the course of study or the programme of research without prior permission of the Vice Chancellor. In the event, the course of study falls short of study leave sanctioned, the staff member shall resume duty immediately on the conclusion of such course of study, unless a prior approval of the Vice Chancellor to treat the period of shortfall as ordinary leave has been obtained.
- 9. The amount of scholarship, fellowship or other financial assistance that a staff member, granted study leave, has been awarded may not preclude his/her being granted study leave with pay and allowances but the scholarship, etc., so received shall be taken into account in determining the

Pay and allowance on which the study leave may be granted. The Foreign scholarship/fellowship would be set off against pay only if the fellowship is above a specified amount, which shall be determined by the University, from time to time, based on the cost of living for a family in the country in which the study is to be undertaken. In the case of an Indian fellowship, which exceeds the salary of the staff member, the salary would be forfeited.

- 10. A staff member granted study leave may on his/her return and re-joining the service of the university may be eligible to the benefit of the annual increment(s) which he/she would have earned in the course of time if he/she had not proceeded on study leave. No staff member shall however, be eligible to receive arrears of increments. It has to be noted that increments will not be sanctioned during paid study leave.
- 11. Study leave may count as service for pension/contributory provident fund, provided the staff member joins the university on the expiry of his/her study leave.
- 12. Study leave granted to a staff member shall be deemed to be cancelled in case the staff member does not meet the necessary requirements of his/ her research/ study during the leave. *Provided that* where study leave granted has been so cancelled, the staff member shall not be eligible to apply again for such leave.
- 13. A staff member availing himself/herself of study leave shall undertake in writing that he/she shall serve the university for a continuous period of at least five years to be calculated from the date of his/her resuming duty on expiry of the study leave.
- 14. After the leave has been sanctioned, the staff member shall, before availing himself/herself of the leave, execute a Service Agreement in favour of the university, binding himself/herself for the due fulfilment of the conditions laid down in sub-clause above and give appropriate security including immovable property to the satisfaction of the University Human Resource Department or a fidelity bond of an insurance company or a guarantee by a scheduled bank or furnish the security of two permanent staff members for the amount which might become refundable to the university in accordance with sub-clause above.
- 15. The staff member shall submit to the University Human Resource Department, six monthly reports of progress in his/her study from his/her supervisor or the Head of the Institution. This report shall reach the University Human Resource Department within one month of the expiry of every six months of the study leave. If the report does not reach the University Human Resource Department within the specified time, the payment of leave salary shall be deferred till the receipt of such report.
- 16. An employee on study leave shall not take up part time/full time job anywhere else. If any employee is found to be employed during study leave, the University will take disciplinary action against such employee including termination of service and recovery of salary paid during the study leave period.

8.4.3 Sabbatical Leave

1. Permanent, whole-time teachers of the university who have completed ten years of service as Reader/Associate Professor or Professor may be granted sabbatical leave to undertake study or research or other academic pursuit solely for the object of increasing their proficiency and usefulness to the university and higher education system.

- 2. The duration of leave shall not exceed one year at a time and two years in the entire career of a teacher.
- A teacher, who has availed study leave, shall not be entitled to sabbatical leave.
 Provided further that sabbatical leave shall not be granted until after the expiry of seven years from the date of the teacher's return from previous study leave or any other kind of training programme of duration one year or more.
- 4. A teacher may, during the period of sabbatical leave, be paid full pay and allowances (subject to the prescribed conditions being fulfilled) at the rates applicable to him/her immediately prior to his/her proceeding on sabbatical leave.
- 5. A teacher on sabbatical leave shall not take up, during the period of that leave, any regular appointment under another organization in India or abroad. He/she may, however, be allowed to accept a fellowship or a research scholarship or adhoc teaching and research assignment with honorarium or any other form of assistance, other than regular employment in an institution of advanced studies.

Provided that in such cases the Academic Council/ Research and Innovation Council may, if it so desires, sanction sabbatical leave on reduced pay and allowances.

6. In accordance with the Clause 5 above the period of leave may also count as service for purposes of pension/contributory provident fund *provided* that the teacher re-joins the university on the expiry of his/her leave.

8.4.4 Casual Leave

- 1. Total casual leave granted to all the employees including part-time Faculty members in an academic year shall be in accordance with the Leave Matrix in *Annexure 8.1*.
- 2. Casual leave will be credited at the beginning of the Leave Year for eligible employees who are on the payroll at that time. For employees who join during the year Casual leave will be credited on pro-rata basis from the date of joining.
- 3. Casual leave cannot be combined with any other kind of leave. However, casual leave may be combined with holidays including Sundays. Holidays or Sundays falling within the period of casual leave shall not be counted as casual leave.
- 4. Grant of Casual Leave shall be subject to the approval of the Head of the Department/Dean or other approving authority as the case may be.
- 5. Unused Casual leaves will not be carried over to the subsequent calendar year under any circumstances. Casual Leave entitlements in any year should be availed within the same calendar year.
- 6. Not more than 3 days of contiguous Casual leaves can be availed at a time.
- 7. Casual Leave may be taken for half day also.
- 8. Casual leave availed on Saturdays shall be deemed to be one full day.
- 9. In addition, Special Casual Leave to the extent mentioned below, may also be granted subject to the approval of the University and submission of Medical Certificate issued by registered medical practitioner:
 - a) To undergo sterilization operation (vasectomy or salpingectomy) under family welfare programme. Leave in this case will be restricted to 6 working days; and

b) To a female employee who undergoes non-puerperal sterilization. Leave in this case will be restricted to 14 calendar days.

8.4.5 Earned Leave

- 1. Earned leave admissible to a staff of the university shall be in accordance with the leave matrix in *Annexure 8.1*
- 2. For purposes of computation of period of actual service, all periods of leave except casual and duty leave shall be excluded.
- 3. Earned leave at the credit of a staff member shall not accumulate beyond 240 days.
- 4. The minimum earned leave that can be availed at a time shall be 4 days.
- 5. The maximum earned leave that may be sanctioned at a time shall not exceed 60 days. Earned leave exceeding 60 days may, however, be sanctioned in the case of higher study, or training, or leave with medical certificate, or when the entire leave, or a portion thereof, is spent outside India.

6. Any exceptions to the above will require prior written approval of the leave sanctioning authority. For avoidance of doubt, it may be noted:

- a) When a staff member combines vacation with earned leave, the period of vacation shall be reckoned as leave.
- b) Encashment of earned leave shall be allowed to University staff members as follows:
 Teaching staff: At the time of leaving and provided employee has completed a minimum of 10 years of service encashable up to a maximum of 240 days of leave at credit.
 Non-teaching staff: Once in a block period of 2 years.

Calculation of Earned Leave Encashment: For the purpose of earned leave encashment, last drawn Basic Pay and Dearness Allowance shall be considered. The formula of earned leave encashment is = (last drawn Basic pay + DA)/30 days*No. of days of earned leave.

- c) Intervening holidays and weekly offs will be treated as part of Earned leave.
- d) Earned leave shall be credited to all the permanent employees at the beginning of each year and for those employees who join the University pro-rated earned leave will be credited on confirmation of their service.

8.4.6 Commuted (medical) Leave

- 1. All permanent employees of the University may be entitled to 10 days of Commuted Leave, or part thereof, in a Leave Year depending on the date of confirmation.
- 2. The employees covered under Employees State Insurance Act shall be governed by the provisions of this Act.
- 3. Commuted leave will be credited to all the permanent employees account on the first day of the leave year and for those employees who are confirmed subsequently will be credited on pro-rata basis on the date of confirmation.
- 4. A written request (oral in exceptional cases) shall have to be submitted to the reporting authority for availing Commuted leave due to illness or injury.
- 5. An employee availing Commuted leave for more than 2 consecutive days shall submit a Medical Certificate issued by a registered medical practitioner upon resumption of duty. The services of the University's medical officer/ hospital may be utilized for this purpose.

- 6. Scheduled Absences: Planned absences and other excused absences with or without pay shall be approved on request only in advance. Medical appointments and scheduled surgery shall also have to be approved by the Head of the Department/ Dean of the Faculty or any other Sanctioning Authority as the case shall be.
- 7. Unscheduled Absences: In case of sudden illness or other unexpected circumstances, an employee shall notify or arrange to notify his / her superior immediately. If this is not possible, a family member is expected to alert the Head of the Department as soon as possible to explain the situation and indicate the expected date and time of return.
- 8. Leave availed on Saturdays shall be deemed to be one full day's leave.
- 9. Unused medical leaves can be carried forward into the subsequent years for a maximum period of 160 days and is not encashable.

8.4.7 Extraordinary Leave

- 1. A permanent staff member may be granted extraordinary leave when:
 - a) No other leave is admissible; or
 - b) Other leaves are not admissible and the staff member applies in writing for the grant of extraordinary leave.
- 2. Extraordinary leave will be without pay and allowances. Extraordinary leave shall not count for increment except in the following cases:
 - a) Leave taken on the basis of medical certificates;
 - b) Cases where the Vice Chancellor is satisfied that the leave was taken due to causes beyond the control of the staff member, such as inability to join or re-join duty due to civil commotion or a natural calamity, provided the staff member has no other kind of leave to his credit;
 - c) Leave taken for pursuing higher studies; and
 - d) Leave granted to accept an invitation to a teaching post or fellowship or research-cumteaching post or on assignment for technical or academic work of importance.
- 3. Extraordinary leave may be combined with any other leave except Casual leave, provided that the total period of continuous absence from duty on leave (including periods of vacation when such vacation is taken in conjunction with leave) shall not exceed one month (30 days) except in cases where leave is taken upon producing a medical certificate.

8.4.8 Maternity Leave

- Maternity leave, on full pay, shall be granted to a female staff member for a period not exceeding 180 days each instance, to be availed twice in the entire career. Maternity leave shall also be granted in case of miscarriage including abortion, subject to the condition that the total leave granted in respect of this to a female staff member in her career is not more than 45 days, and the application for leave is supported by a medical certificate.
- 2. Maternity leave may be combined with earned leave or extraordinary leave but any leave applied for in continuation of maternity leave maybe granted if the request is supported by a medical certificate.

8.4.9 Paternity Leave

Paternity leave of 15 days may be granted to male, full time permanent staff members during the confinement of their wives and such leave shall be granted only up to two children and subject to production of medical certificate issued by registered medical practitioner.

8.4.10 Compensatory Leave

- 1. Staff members who have been asked to work on holiday maybe entitled to Compensatory leave for an equal number of days that they have worked.
- 2. Compensatory leave cannot be combined with casual leave.
- 3. Compensatory leaves cannot be carried over to the next calendar year.
- 4. Compensatory leaves cannot be encashed.

CHAPTER 9: STAFF PAY SCALES, GRADES AND CONDITIONS OF SERVICE

9.1 Staff Pay Scales and Grades

The University shall have its own staff grades and pay structure. This structure will also be followed during recruitments and promotions. Staff qualification, experience, competency and skills shall be considered as important criteria for deciding the grade. The staff grades and pay structure of the University are appended in *Annexure 9.1* of the **Regulations**.

9.2 Condition of Service of Appointment at the University

- 1. The terms and conditions with regard to the qualifications/ experience/ and any other eligibility criteria will be as prescribed in the UGC/ AICTE/ DCI/ PCI/ any other regulation or University stipulated requirements as the case may be.
- 2. In addition to the above, the Vice-Chancellor may prescribe in consultation with the concerned Dean of Faculty (Director of a Directorate) such specification or other condition that should be satisfied for the post to be filled up.
- 3. Equivalence for PhD is based on publication of 5 International Journal papers, each Journal having a cumulative impact index of not less than 2.0, with the incumbent as the main author and all 5 publications being in the author's area of specialization.
- 4. PhD should be from a recognized University.
- 5. For an incumbent Assistant Professor, experience at the level of Assistant Professor will be considered equivalent to experience at the level of Associate Professor, provided the incumbent Assistant Professor has acquired or acquires Ph. D Degree in the relevant discipline.
- 6. Experience at Diploma Institutions is also considered equivalent to experience in Degree level Institutions at appropriate level and as applicable. However, minimum qualifications as stipulated in the regulatory body shall be mandatory.
- 7. If a class/ division is not awarded, minimum of 60% marks in aggregate shall be considered equivalent to First Class/ Division. If a Grade Point System is adopted the CGPA will be converted into equivalent marks as below:

Grade Point	Equivalent Percentage		
5.75	50%		
6.25	55 %		
6.75	60 %		
7.25	65 %		
7.75	70 %		
8.25 and Above	75 %		

9.3 Work Load of University Staff Members

The University will define the staff work load based on the total hours available for the academic year. The workload will comprise of various activities that the staff members will have to accomplish in the given year within the available hours of work.

9.4 Publication System of the University

- The Research Coordinator of the concerned Faculty will receive all the Publication requests in soft form and communicate the University's decision when the formalities are completed. The Research Coordinator of the concerned Faculty will maintain the record of submitted manuscripts in e-form under the headings:
 - a) Journal;
 - b) Conference;
 - c) Dental and Orofacial Research
- Furnishing the necessary information regarding the publications and the conference participation to HR Department of the Faculty will be the responsibility of the Research Coordinator of the concerned Faculty and the Coordinator – Directorate of Research needs to be contacted for this purpose.
- 3. The Research Coordinator of the concerned Faculty will forward the submission requests to the Coordinator Directorate of Research for quality check, other routine checks and for facilitation. The Directorate of Research may request the corresponding author to make a brief presentation before clearing the manuscript if needed. After receiving favourable recommendations from the Directorate of Research, an e-mail clearance will be sent to the Author, HOD and Supervisor (if applicable) by the Research Coordinator of the concerned Faculty.
- 4. The received manuscripts will be placed in the common folder 'Research Department' (a specified server in each Faculty) which is open to all members and students of a given Faculty. It is expected that any authorship grievance should be brought to the notice of the Research Coordinator of the concerned Faculty within 15 days of placing the manuscript in the common folder.
- 5. The Research Coordinator of the concerned Faculty will continually update the status of the submissions until the manuscript appears in print.
- 6. Publication in journals on payment (Article Processing Fee) is not encouraged. While the author has to ensure the journal is adequately reputed, the **Directorate of Research** also provide advice and guidance in this respect.
- Dental and Orofacial Research publications will be independently handled by Editorial Committees of the respective Journals. Nevertheless, the editor will provide the list of papers published by MSRUAS faculty/students to the Research Coordinator of the concerned Faculty for compiling the overall list.
- (a) Every Ph.D. scholar is expected to publish at least one paper in a reputed journal or at least two in conference proceedings prior to submission of the thesis.

However, decision of the Directorate of Research is final in this regard

(b) Every Faculty member is expected to publish at least one paper, on an average, in a reputed journal per annum and another one in a reputed conference proceedings.

9.5 Conference attendance

Every Faculty in the University is encouraged to attend conferences to enrich their knowledge. University will support financially the staff attending the conferences as per the decision taken by the management in this regard.

9.6 University Publications

- 1. Publication of Dental and Orofacial Research journal is the responsibility of the respective Editorial Committee. It may draw outside experts in the committee if needed.
- 2. Publication of 'Book of Abstracts' (of Student Projects) and 'Reflections' (a magazine dedicated to bringing out the highlights of the Academic and Research achievements of the University) is the responsibility of the respective Publication Committees. Both are published annually.

9.7 Training programmes for Teaching/ Training/ Supporting staff members

All Staff members are encouraged to take up various short-term training programs or attend reputed National or International conferences/ seminars/ workshops etc. Staff members who have put in more than two years of continuous service at MSRUAS are eligible for such deputations. Deans of Faculties (Directors of Directorates) in consultation of the Head of the Department shall decide the usefulness of such programs and recommend to the University the names of staff members for attending the programs for approval from the Vice Chancellor of the University. As far as possible, such recommendations should be avoided during active module teaching periods.

9.8 Submission of proceedings / documents related to training programs

After attending conferences / workshops, it shall be mandatory for all deputed staff to submit a copy of the proceedings, documents, etc. to the University Library and the Faculty Library. In addition, the staff may be required to make a presentation on the conference or training program attended to the department. This may be also applicable to any kind of dissertation work tending to higher degrees.

CHAPTER 10: STAFF INCENTIVE AND WELFARE

10.1 Staff Insurance and Medical Schemes

The University may cover all the eligible employees and their dependents under Group Mediclaim and Personal Accident Insurance policies as part of its welfare measure. The details of the Insurance scheme are provided in *Annexure 10.1*.

10.2 Incentive Schemes

M. S. Ramaiah University of Applied Sciences may devise incentive schemes for its staff members engaged in the following activities:

- 1. Conducting Corporate Training Programmes
- 2. Providing skill based training to outside participants
- 3. Taking part in delivering sessions at various seminars and workshops outside the university
- 4. Offering technical services/assistance to Techno Centre.
- 5. May design such incentive schemes for promoting research activities from time to time.
- 6. All other staff who achieve above the specified target for the given year may design such incentive schemes for promoting staff output more than specified targets from time to time.

The details of the scheme are appended in Annexure 10.2

10.3 Support to Employees of the University for Doctoral and Post-Doctoral Programs

Confirmed Faculty of the University having the requisite, minimum qualification can opt for Doctoral Programme / Post-Doctoral Programme in the University.

Interested faculty members are required to make an application to the Vice Chancellor through the Dean of the Faculty / Director of the Directorate. The Vice Chancellor may constitute a committee to study the request of the candidate for support, together with the relevant terms and conditions of such approval.

In general the University shall follow the following policy in regard to Ph.D. programmes for faculty members of the University:

- 1. This policy is applicable to all faculty members of the University.
- 2. Faculty Members shall be eligible for an annual fee concession of 50% of the Regular fees as published in the Fee Schedule of the University from time to time. Same shall be applicable for a period of 4 years which is the maximum permitted duration of the study.
- 3. The candidate will be required to execute a Service Bond with an undertaking that, on completion and award of Ph.D., he/she shall serve the University for a further period of 5 years (or such other period at the discretion of the Vice Chancellor) from the date of completion of Ph.D at prevailing terms and conditions of employment.
- 4. In the event of breach of Service Bond, the candidate shall indemnify the University to the

extent of fee forgone by the University along with interest at the rate of 12% p.a. with retrospective effect., Candidates desirous of pursuing Ph.D. programmes with other Institutions/Universities shall be required to obtain prior written approval of the University

10.4 Education Support to Children of Employees of the University

10.4.1 General

The University encourages the employees to admit their ward into various programmes offered by the University. Towards this, the University offers SPECIAL SCHOLARSHIP BENEFITS to such children of staff members who wish to pursue their study programmes in the University.

10.4.2 Eligibility for Special Scholarship/ Free ship Benefits

- a. The Faculty or Staff member of the University who has successfully completed a minimum of two (02) year of service in the University with satisfactory completion of probationary period may be eligible for such Special Scholarship Benefits for their children
- b. Special Scholarship/Free Ship Benefits will not be extended to any candidate seeking admission in the University through CET/ Government seats
- c. Special Scholarship/Free Ship will be applicable only for children of staff members seeking direct admission to University programmes
- For admission to the FIRST year of any Undergraduate Programme (UG): The Candidate should have secured minimum 60% marks in 10+2 level exam or equivalent qualifying examination to be eligible for Scholarship/Free Ship
- e. For admission to the FIRST year of any Postgraduate Programme (PG): The candidate should have secured minimum 50% marks, on average, in the corresponding undergraduate degree examination to be eligible for Special Scholarship Benefits

10.4.3 Application

The Faculty and Staff Members of the University who are interested to admit their children in the University are required to make an application to the Admissions Director of the University with the details of the qualifying marks of their child along with their Employee ID. The Director Admission will issue a confirmation after ensuring compliance with this Policy.

10.4.4 Special Scholarship Benefits

- a. The Special Scholarship Benefits will be extended in the form of concession in the TUITION FEES for a maximum of TWO (02) children.
- b. Benefits for Direct Admissions to University Quota:
 - i. In the year of admission a concession of 25% of Tuition Fees only in certain programmes as specified by the Management from time to time.
 - ii. Similarly in the subsequent years a concession of 25% in Tuition Fees, provided the student maintains a minimum 50% average score in the preceding year as specified by the Management from time to time

10.4.5 Other Fees

a. All other fees shall be payable as per the notification issued by the University from time to

time

CHAPTER 13: TRAVEL POLICY

13.1 Travelling Allowance

Travelling Allowance shall mean an allowance granted to University staff to cover the expenses which he/ she incurs when on travel on University business. It shall include allowance granted for the maintenance of conveyances, accommodation, food and any other miscellaneous expenses. All the staff members of the University will be required to invariably furnish bills and other supporting documents for claiming/ reimbursement of travelling expenses.

13.2 Travel Expenses Matrix

University employees are expected to strictly follow the Travel Expenses Matrix as shown in *Annexure* **13.1**. University shall not encourage any deviation from the rules and policy stipulated in the matrix.

13.3 Official Travel

The University will reimburse travel expenses, properly incurred, by staff members travelling for official purposes in accordance with the Travel Expenses Matrix appended in *Annexure 13.1*. The University may vary the reimbursement policy with respect to official travel from time to time.

LIST OF ANNEXURES

(Numbering system:	First Part	-	Chapter No.
	Second Part	-	Annexure No.)

- 1.1 APIs-Academic (Teaching)
- 1.2 APIs-Academic (Non-Teaching)
- **1.3** APIs-Administration & Technical
- 8.1 Leave Matrix
- 9.1 MSRUAS Pay Scales and Grades
- 10.1 Staff Insurance & Medical Cover Scheme
- **10.2** Incentive Scheme
- **13.1** Travel Expenses Matrix