

# On Recent Advances of the 3D Navier-Stokes and Euler Equations

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  - The effect of boundary conditions
  - Does the advection term deplete singularity?

We consider the Euler Equations of inviscid incompressible fluid in  $\Omega = \mathbb{R}^3$ , the whole space, or  $\Omega = (\mathbb{R}/\mathbb{Z})^3$ , the three dimensional torus.

$$\begin{aligned}u_t + (u \cdot \nabla)u + \nabla p &= 0, \quad x \in \Omega, \quad t > 0 \\ \nabla \cdot u &= 0, \\ u(x, 0) &= u_0(x).\end{aligned}$$

where the velocity field  $u = (u_1, u_2, u_3)$  and pressure  $p$  are unknowns.

Denoting by  $\omega = \nabla \times u$ , the vorticity.

# Vorticity formulation

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the velocity can be recovered from the vorticity via the Biot-Savart law in  $\mathbb{R}^3$

$$u(x, t) = \frac{1}{4\pi} \int_{\mathbb{R}^3} \frac{(x - y) \wedge \omega(y)}{|x - y|^3} dy.$$

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- **Question:** Does there exist a regular solution (say in  $C^{1,\alpha}$ ) of the  $3d$  Euler equations that becomes singular in a finite time (blows up problem)?

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- **Elgindi-Ghoul-Masmoudi [2020]** Similar result for solutions with finite energy.
- **Chen-Hou [2020]** Similar result the  $3d$  axi-symmetric Euler equations in a cylinder, i.e., with physical boundaries.

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$$\xi = \frac{\omega}{|\omega|}$$

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**Theorem (Gibbon-Titi [J. Nonlinear Science 2013])**

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# Lack of uniqueness of weak solutions/Convex integration

## Theorem DeLellis - Szekelyhidi

*There exist a set of initial data  $u_0 \in L^2(\Omega)$  (not explicitly constructed) for which the Cauchy problem has, for the same initial data, an infinite family of weak solutions of the three-dimensional Euler equations: a residual set in the space  $C(\mathbb{R}_t; L^2_{\text{weak}}(\Omega))$ .*

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- Remark: Earlier results were established by **Shnirelman** and by **V. Sheffer**.



Theorem **Wiedemann** (2011).

*There exists a family (non-uniqueness) of weak solutions to the Cauchy problem of the 3D Euler.*

# Ruling Out Principle of Wild Weak Solutions in the Absence of Physical Boundaries

## Ruling Out Principle

Any wild weak solution of Euler equations, in domains without physical boundaries, that cannot be achieved as a vanishing viscosity limit of a **Leray-Hopf** weak solutions of the Navier-Stokes equations should be **ruled out**.

# Does 2D Flow Remain 2D?

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Let  $u_0$  be a function of  $(x, y)$ , then there is a unique corresponding *Leray-Hopf* weak solution of the 3D Navier-Stokes. Moreover, this solution remains a function of only  $(x, y)$ .



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Let  $u_0$  be a function of  $(x, y)$ , then there is a unique corresponding *Leray-Hopf* weak solution of the 3D Navier-Stokes. Moreover, this solution remains a function of only  $(x, y)$ . Similar result for *axi-symmetric* initial data, or *helical* initial data.

# Ruling Out Symmetry Breaking Solutions

**Ruling out principle:** all the wild weak solutions of Euler equations that do not obey the two-dimensional symmetry of the initial data should be **ruled out**. Because they cannot be obtained as vanishing viscosity limit of **Leray-Hopf** weak solutions of the Navier-Stokes equations.

# Nonuniqueness of Weak Solutions of the Navier-Stokes Equations

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$$\begin{aligned}u_t + \nu(-\Delta)^\theta u + (u \cdot \nabla)u + \nabla p &= 0, & x \in \Omega, & t > 0 \\ \nabla \cdot u &= 0.\end{aligned}$$

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- **Luo-Titi (2018)** Constructed, for all  $\theta < 5/4$ , non-unique weak solutions of the 3D hyper-viscous Navier-Stokes equations, following and extending the Buckmaster-Vicol procedure.
- Sharpness of convex integration machinery and Lions.

# The Positive Part of the Onsager Conjecture

## Theorem Constantin-E-Titi (1994)

Let  $u$  be a weak solution of the Euler equations, such that  $u \in L^\infty((0, T); L^2(\mathbb{R}^3)) \cap L^3((0, T); C^{0, \alpha}(\mathbb{R}^3))$ , with  $\alpha > 1/3$ , then  $u$  conserves the energy.

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## Theorem Bardos-Titi (2017)

Let  $\Omega$  be a bounded smooth domain, and let  $u$  be a weak solution of the Euler equations, such that  $u \cdot \vec{n}|_{\partial\Omega} = 0$  and  $u \in L^\infty((0, T); L^2(\Omega)) \cap L^3((0, T); C^{0, \alpha}(\bar{\Omega}))$ , with  $\alpha > 1/3$ , then  $u$  conserves the energy.

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- Extensions to other physical systems, cf. [Drivas-Eyink, Feireisl-Gwiazda-Świerczewska-Gwiazda-Wiedemann, Gwiazda-Michálek-Świerczewska-Gwiazda, Yu, etc...](#)

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$$\sum_{0 \leq i \leq d} \partial_{x_i} q_i(u) = 0 \quad \text{in } \mathcal{D}'(Q) \quad (3)$$

We consider in the whole space  $\mathbb{R}^3$  or on the periodic box  $(\mathbb{R}/\mathbb{Z})^3$  :

## The shear flow

$$u(x, t) = (u_1(x_2), 0, u_3(x_1 - tu_1(x_2))).$$

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- For  $u_1, u_3 \in C^1$ , the above shear flow is a classical solution of the Euler equations with pressure  $p = 0$ .
- Moreover, in the case of the periodic box  $(\mathbb{R}/\mathbb{Z})^3$  the above shear flow conserves the energy.

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$$\partial_{x_2} u_3(x_1 - tu_1(x_2)) = -t \partial_{x_2} u_1(x_2) \partial_{x_1} u_3(x_1 - tu_1(x_2))$$

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## Theorem Bardos-Titi

(i) Let  $u_1, u_3 \in L^2_{\text{loc}}(\mathbb{R})$  then the shear flow

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(ii) Let  $u_1, u_3 \in L^2(\mathbb{R}/\mathbb{Z})$  then the shear flow defined above is a weak solution of the Euler equations, in the sense of distributions, in  $\Omega = (\mathbb{R}/\mathbb{Z})^3$ . Furthermore, in this case the energy of this solution is constant.

**Theorem (i)** For  $u_1(x), u_3(x) \in C^{1,\alpha}$ , with  $\alpha \in (0, 1]$ , the shear flow solution

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(iii) There exist shear flow solutions of the above form which, for  $t = 0$ , belong to  $C^{0,\alpha}$ , for some  $\alpha \in (0, 1)$ , and for  $t \neq 0$ , they do not belong to  $C^{0,\beta}$  for any  $\beta > \alpha^2$ .

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$$C^{1,\alpha} = B_{\infty,\infty}^{1+\alpha} \subset B_{\infty,1}^1 \subset C^1 \subset F_{\infty,2}^1 \subset B_{\infty,\infty}^1 \subset B_{\infty,\infty}^\alpha = C^{0,\alpha}.$$

**Theorem** *The 3d Euler equation is well posed in  $B_{\infty,1}^1$  (Pak and Park). It is not well posed in  $B_{\infty,\infty}^1$  or in the Triebel-Lizorkin space  $\subset F_{\infty,2}^1$*

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to provide an explicit example for an analytic solutions whose radius of analyticity is **shrinking** with the rate  $\frac{1}{t}$ .

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Let  $v_0(x) = (v_1(x_2), 0, v_3(x_1, x_2))$ , where we assume  $v_1 \in L^2(\mathbb{T})$  and  $v_3 \in L^2(\mathbb{T}^2)$ .

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corresponding to  $v_0$ , as  $\nu \rightarrow 0$ .

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for some fixed real parameters  $\alpha_1, \alpha_3, \beta_1, \beta_3, \xi_1, \xi_2$ , satisfying  $\alpha_1 \geq \beta_1$  and  $\alpha_3 \neq \beta_3$ .



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- In the first example the density of the vorticity is concentrated on a surface with corners. It does not seem to be possible to construct the same type of configuration in  $2d$ . **There seems to be more room in  $3d$ .**
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- In the second example the function  $x_2 \mapsto u_1(x_2)$  does not seem to require more than  $C^1$  regularity in order to maintain this regularity. **For the two-dimensional Kelvin-Helmholtz (Birkhoff-Rott) such property is not possible, while it might be possible in the three-dimensional case.**

# Kelvin-Helmholtz (Birkhoff-Rott) Problems

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$$\partial_t y - v_2 = -(v_1 \partial_x y),$$

$$\partial_t \tilde{\omega} + \partial_x (v_1 \Omega_0) = -\epsilon \partial_x (v_1 \tilde{\omega}),$$

$$v_1(x, t) = -\frac{1}{2\pi} P.V. \int \frac{y(x, t) - y(x', t)}{(x - x')^2 + \epsilon^2 (y(x, t) - y(x', t))^2} (\Omega_0 + \epsilon \tilde{\omega}) dx',$$

$$v_2(x, t) = \frac{1}{2\pi} P.V. \int \frac{x - x'}{(x - x')^2 + \epsilon^2 (y(x, t) - y(x', t))^2} (\Omega_0 + \epsilon \tilde{\omega}) dx'.$$

This system describes perturbations in  $\mathbb{R}^2$  about the stationary solution

$$y(x, 0) = 0, u_- = \frac{\Omega_0}{2}, u_+ = -\frac{\Omega_0}{2}.$$

# Local ellipticity continue ...

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This in turn leads to the introduction of the operators (Hilbert transform):

$$\begin{aligned} Hf(x) &= \frac{1}{\pi} \int \frac{1}{x - x'} f(x') dx' = F^{-1}(-i \operatorname{sgn}(\xi) \hat{f}(\xi)) \\ |D|f(x) &= \frac{1}{\pi} P.V. \int \frac{f(x) - f(x')}{(x - x')^2} = \partial_x (Hf(x)) = F^{-1}(|\xi| \hat{f}(\xi)). \end{aligned}$$

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In the right hand side  $F$  and  $G$  are first order operators. Eventually with the introduction of the “Laplacian” one has:

$$\begin{aligned}\partial_{tt}(y_x) + \Omega_0^2 \partial_{xx}(y_x) &= \epsilon(\partial_t(F(y_x, \omega)_x) + |D|(\epsilon G(y_x, \omega)_x)), \\ \partial_{tt}(\omega) + \Omega_0^2 \partial_{xx}(\omega) &= \epsilon(|D|(F(y_x, \omega)_x) + \partial_t(\epsilon G(y_x, \omega)_x)).\end{aligned}$$

# What is the situation in the three-dimensional Kelvin-Helmholtz?

Repeat the previous analysis for

$$\Gamma(t) = \{x_3 = \epsilon x(x_1, x_2, t)\},$$

a *small* perturbation about the stationary flat state  $x_3 = 0$ ,  $\tilde{\omega}^0(x_1, x_2) = (\tilde{\omega}_1^0, \tilde{\omega}_2^0, 0)$ .



# Local analysis for 3d Kelvin-Helmholtz

$$\text{Leading part of the perturbed equation } \partial_t \begin{pmatrix} \hat{x}_3 \\ \hat{\omega}_1 \\ \hat{\omega}_2 \\ \hat{\omega}_3 \end{pmatrix} = \mathcal{A} \begin{pmatrix} \hat{x}_3 \\ \hat{\omega}_1 \\ \hat{\omega}_2 \\ \hat{\omega}_3 \end{pmatrix}$$

with  $k = |k|(\cos \theta, \sin \theta)$  and

$$\mathcal{A} = \begin{pmatrix} 0 & \frac{i}{2} \sin \theta & -\frac{i}{2} \cos \theta & 0 \\ -\frac{i}{2} |k|^2 |\omega^0|^2 \sin \theta & 0 & 0 & \frac{1}{2} (k \cdot \omega^0) \sin \theta \\ \frac{i}{2} |k|^2 |\omega^0|^2 \cos \theta & 0 & 0 & -\frac{1}{2} (k \cdot \omega^0) \cos \theta \\ 0 & -\frac{1}{2} (k \cdot \omega^0) \sin \theta & \frac{1}{2} (k \cdot \omega^0) \cos \theta & 0 \end{pmatrix}$$

The eigenvalues of the matrix  $\mathcal{A}$  are

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**That is the three-dimensional Kelvin-Helmholtz (Birkhoff-Rott) problem is more stable than the two-dimensional one!!!**

# Numerical investigation of blow up - Hyperviscosity

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Does not develop singularity in finite time. How about the **hyper-viscous** Hamilton-Jacobi:

$$\frac{\partial u}{\partial t} + \nu \Delta^{2m} u = |\nabla u|^2?$$

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**YES** with Dirichlet boundary conditions.



# Dropping Advection Causes Blow-up for 3D Euler Equations

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This equation blows up in finite time Constantin [Commun. Math. Phys. 1986].

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They show, computationally, that certain solutions **blow-up at the boundary**.

# Inviscid Regulations of the Euler Equations

Euler equations:

$$\begin{aligned}u_t + (u \cdot \nabla)u + \nabla p &= 0, & x \in \Omega, & t > 0 \\ \nabla \cdot u &= 0, \\ u(x, 0) &= u_0(x).\end{aligned}$$

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**Blowup criterion** [Larios-Titi]:

$$\liminf_{\alpha \rightarrow 0} \sup_{0 \leq t \leq T} \alpha^2 \|\nabla u(t)\|_{L^2}^2 > 0.$$

# Computation Study Implementing the Euler-Voigt Criterion

Computational study by [Larios-Petersen-Titi-Wingate](#) [[Theor. Comput. Fluid Dyn. \(2018\)](#)], implementing the Euler-Voigt regularization:

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and the corresponding **Blowup Criterion**

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show

- Finite-time singularity of the 3d Euler equations.
- Finite-time singularity of the inviscid Burgers equations (regularized by the Benjamin-Bona-Mahony equation).

THANK YOU FOR YOUR ATTENTION!